



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: **Toshimichi Kurihara, et al.** Examiner: **Nema O. Berezny**  
Serial No.: **09/942,445** Art Unit: **2813**  
Filed: **August 30, 2001** Docket: **14872**  
For: **SEMICONDUCTOR DEVICE AND  
MANUFACTURING METHOD THEREOF** Dated: **December 9, 2003**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

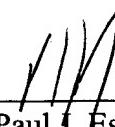
1. Korean Unexamined Patent Application No. 1999-34053, dated May 15, 1999; and
2. Korean Unexamined Patent Application No. 1999-34054, dated May 15, 1999.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 9, 2003.

Dated: December 9, 2003

  
Paul J. Esatto, Jr.

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The references listed above were cited in an Official Action dated September 30, 2003, received from the Korean Intellectual Property Office (KIPO). A copy of the Korean Official Action is enclosed. A translation of the Korean Official Action into Japanese is also enclosed. Applicants are submitting copies of the above-cited references, along with a translation of the Examiner's comments regarding the references from the Japanese translation of the Korean Official Action. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(c), the statement is enclosed.

Respectfully submitted,

  
Paul J. Esatto, Jr.  
Registration No. 30,749

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PJE:AVS:jap



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**Examiner:** Nema O. Berezny

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**STATEMENT PURSUANT**  
**TO 37 C.F.R. § 1.97(c)(1) and (e)(1)**

Sir:

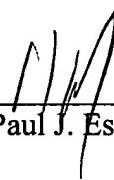
I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

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Paul J. Esatto, Jr.

Accordingly, it is respectfully requested that the accompanying Information Disclosure Statement be considered with respect to the above-identified application.

Respectfully submitted,

  
Paul J. Esatto, Jr.  
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